



Prof. Dr. Dr. h.c. mult. *Ulrich Sieber*, director at the Max Planck Institute for Foreign and International Criminal Law in Freiburg/Germany, professor at the Universities of Freiburg and Munich, and advisory professor at Peking University, Renmin University, Beijing Normal University, and the University of Wuhan (China). Former professor at the Universities of Bayreuth, Würzburg, and Munich, private attorney, personal adviser to two commissioners of the European Union, and consultant for numerous international organizations (e.g., UN, OECD, EU, CoE, ICTY).



Dr. *Marc Engelhart*, head of section for business and economic criminal law at the Max Planck Institute for Foreign and International Criminal Law. Study of law at the Universities of Freiburg (Germany) and Edinburgh (UK). Doctoral thesis on "Corporate Criminal Liability and Compliance Measures" in 2010. Visiting researcher at the Universities of Cambridge and Oxford in 2014. Practicing lawyer since 2006, at an international corporate law firm in Stuttgart from 2009 to 2012.

 Max-Planck-Institut
für ausländisches und
internationales Strafrecht

Changes in today's global risk and information society create new challenges for criminal law and criminal policy, particularly with respect to complex crimes such as white-collar crime, organized crime, and terrorism. These changes have pushed traditional criminal law to its territorial and functional limits and require alternative forms of social control. This can be seen especially with respect to the global threats to economic markets caused by new forms of corporate crime in the 21st century. In this field States are increasingly compensating their loss of control in the prevention and prosecution of crime by requiring private persons and companies to cooperate in crime prevention, especially through the use of compliance measures as a form of "regulated selfregulation". The current draft of a new law on corporate criminal liability in Germany takes this approach. Until now, however, progress in this area has been impeded by a lack of sound empirical data.

The present book fills this gap. It is the first publication that, on the basis of a broad, scientifically based empirical study, not only analyzes the existence, content, and effectiveness of German compliance programs but also addresses the effectiveness of various strategies in preventing crime and fostering the implementation of compliance programs.

ISBN 978-3-86113-816-7 (Max-Planck-Institut)
ISBN 978-3-428-14458-7 (Duncker & Humblot)



Duncker & Humblot · Berlin



 Max-Planck-Institut
für ausländisches und
internationales Strafrecht

Ulrich Sieber / Marc Engelhart Compliance Programs for the Prevention of Economic Crimes

An Empirical Survey of German Companies

Schriftenreihe des Max-Planck-Instituts
für ausländisches und internationales
Strafrecht

Strafrechtliche Forschungsberichte
Herausgegeben von Ulrich Sieber

Band S 140

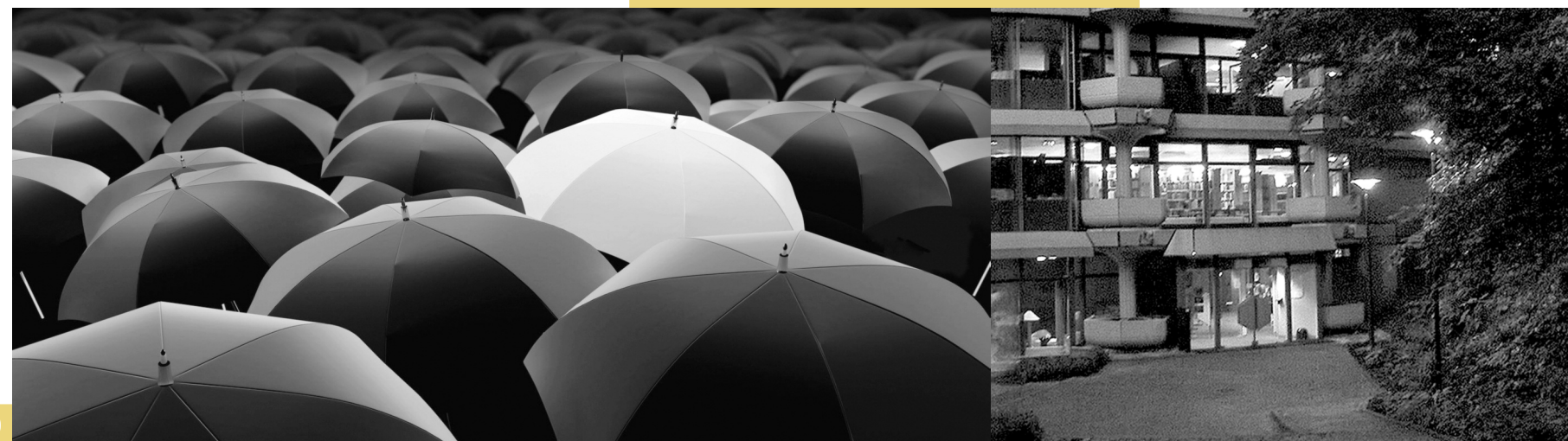
The Max Planck Institute for Foreign and International Criminal Law in Freiburg is part of the Max Planck Society, an organization dedicated to the advancement of basic research. The Institute is composed of a department of criminal law, led by Prof. Dr. Dr. h.c. mult. Ulrich Sieber, and a department of criminology, headed by Prof. Dr. Dr. h.c. Hans-Jörg Albrecht.

In addition to the study of basic questions of criminal law, comparative law, and criminology, the Institute's research agenda focuses primarily on three central challenges epitomized by the terms „global society“, „information society“ and „new risk society“: Crime is becoming more global; in so doing, it makes increasing use of international data networks; through the employment of technology and organization, its consequences for society as a whole – even in seemingly isolated cases – can be significant.

Thus, the Institute's current research program encompasses the goals and methods of comparative law and legal harmonization, model criminal laws, European criminal law, international law, Internet and information law, money laundering, organized crime, terrorism, crime in post-conflict societies, as well as empirical studies of criminal procedure, alternative methods of crime prevention, reactions to dangerous offenders, and victimology.

Sieber / Engelhart
Compliance Programs for the Prevention of Economic Crimes

S 140



Duncker & Humblot · Berlin